

2828



PATENT

Attorney's Docket No. 42P14876

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Andrew Daiber

Application No.: 09/900,429

Filed: July 6, 2001

For: EXTERNAL CAVITY LASER WITH
SELECTIVE THERMAL CONTROL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Examiner: Jackson, C.

Art Unit: 2828

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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 4, 2003
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Dominique Valentino

(Typed or printed name of person mailing correspondence)

Dominique Valentino

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):


- XX 37 C.F.R. §1.97(b).
- _____ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- _____ A statement pursuant to 37 C.F.R. §1.97(e) or
- _____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- _____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
 - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No.
02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 6-3-03



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Substitute for Form 1449A/PTO (Modified)		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/900,429
		Filing Date	July 6, 2001
		First Named Inventor:	Andrew Daiber
		Group Art Unit	2828
		Examiner Name	Jackson, C.
		Attorney Docket Number	42P14876
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U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
	1.	4,410,992		Javan	10-18-1993	
	2.	4,460,977		Shimada et al.	07-17-1984	
	3.	4,847,854		Van Dijk	07-11-1989	
	4.	5,124,993		Braunlich et al.	06-23-1992	
	5.	5,163,063		Yoshikawa et al.	11-10-1992	
	6.	5,181,214		Berger et al.	01-19-1993	
	7.	5,414,280		Girmay	05-09-1995	
	8.	5,418,800		Prior et al.	05-23-1995	
	9.	5,428,700		Hall	06-27-1995	
	10.	5,444,724		Goto	08-22-1995	
	11.	5,673,129		Mizrahi	09-30-1997	
	12.	5,751,750		Friede et al.	05-12-1998	
	13.	5,777,773		Epworth et al.	07-07-1998	
	14.	5,812,716		Ohishi	09-22-1998	
	15.	5,848,092		Mitsumoto et al.	12-08-1998	
	16.	5,872,881		Rossi et al.	02-16-1999	
	17.	5,917,188		Atkinson et al.	06-29-1999	
	18.	6,018,535		Maeda	01-25-2000	
	19.	6,034,799		Hansen	03-07-2000	
	20.	6,040,950		Broome	03-21-2000	
	21.	6,044,095		Asano et al.	03-28-2000	
	22.	6,064,501		Roberts et al.	05-16-2000	
	23.	6,081,539		Mattori et al.	06-27-2000	
	24.	6,115,121		Erskine	09-05-2000	
	25.	6,181,717	B1	Kner et al.	01-30-2001	
	26.	6,205,159	B1	Sesko et al.	03-20-2001	
	27.	6,215,802	B1	Lunt	04-10-2001	
	28.	6,229,835	B1	Tomaru et al.	05-08-2001	
	29.	6,249,365	B1	Mizrahi et al.	06-19-2001	
	30.	6,259,712	B1	DeCain et al.	07-10-2001	
	31.	6,301,280	B1	Broutin et al.	10-09-2001	

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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		Number known	Kind Code ² (If known)			
	32.	6,337,660	B1	Esman et al.	01-08-2002	
	33.	6,366,689	B1	Rao et al.	04-02-2002	
	34.	6,366,592	B1	Flanders	04-02-2002	
	35.	US 2002/0048297	A1	Irie et al.	04-25-2002	
	36.	6,404,538	B1	Chen et al.	06-11-2002	
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	38.	US 2002/0126345	A1	Green et al.	09-12-2002	
	39.	US 2002/0136104	A1	Daiber	09-26-2002	
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	41.	5,050,179		Mooradian	09-17-1991	
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	44.	5,331,651		Becker et al.	07-19-1994	
	45.	5,651,018		Mehuys et al.	07-22-1997	
	46.	6,043,883		Leckel et al.	03-28-2000	
	47.	6,115,401		Scobey et al.	09-05-2000	
	48.	US 6,243,517	B1	Deacon	06-05-2001	
	49.	US 6,321,011	B2	Deacon	11-20-2001	
	50.	US 6,324,204	B1	Deacon	11-27-2001	
	51.	5,263,037		Trutna, Jr. et al.	11-16-1993	
	52.	6,301,274	B1	Tayebati et al.	10-09-2001	
	53.	6,463,085	B1	Tayebati	10-08-2002	
	54.	5,862,162		Maeda	01-19-1999	
	55.	US 6,314,115	B1	Delfyett et al.	11-06-2001	
	56.	6,026,100		Maeda	02-15-2000	
	57.	5,349,439		Graindorge et al.	09-20-1994	

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		Office ³	Number ⁴	Kind Code ⁵ (If known)				
	58.	WO	98/05105		ROYCHOUDHURI	02/05/1998		
	59.	WO	00/49689		ZORABEDIAN	08/24/2000		
	60.	WO	01/04999	A1	CYOPTICS LTD.	01/18/2001		
	61.	WO	02/078137	A1	NEW FOCUS, INC.	10/03/2002		
	62.	WO	03/005500	A2	NEW FOCUS, INC.	01/16/2003		
	63.	WO	03/005501	A2	NEW FOCUS, INC.	01/16/2003		
	64.	WO	03/005512	A2	NEW FOCUS, INC.	01/16/2003		

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